Chapter 3335-3, 3335-6 (2026)- Administrative Titles; Dean and Chair Appointments; POA and APT Approval

Chapter 3335-3 Administration

3335-3-01 President.

(A) The president shall be the chief executive officer of the Ohio state university subject to the control of the board of trustees (BOT). Duties, authority and rights are as specified in ~~the BOT bylaws,~~ paragraph (A) of rule 3335-1-03 of the Administrative Code.

(B) Any responsibility of the president may be delegated to any other member of the faculty or staff of the university, subject to any BOT limitations. The president will retain final authority and responsibility for administration of the university. Delegation of major areas shall be in writing to the BOT before implementation as specified in paragraph (F) of rule 3335-1-03 of the Administrative Code.

(C) The president shall designate a president's planning cabinet. The cabinet shall provide advice and counsel to the president, to discuss, deliberate and serve as the primary decision-making body on major university policies, information sharing, and other roles as the president shall determine, as specified in paragraph (G) of rule 3335-1-03 of the Administrative Code.

(D) Principal administrative officials shall include the members of the president's cabinet, the deans of the colleges and the dean and directors of regional campuses and their designated staffs, the dean of the university libraries, chairs of academic departments, directors of schools and academic centers, and such other administrative officials as determined by the president, as specified in paragraph (H) of rule 3335-1-03 of the Administrative Code.

(Board approval ~~-~~dates: 6/3/2020, 5/19/2022)

3335-3-02 Executive vice president and provost.

(A) The executive vice president and provost shall be the chief operating officer of the university. Under the direction of the president, the executive vice president and provost is responsible for oversight of all academic programs, instructional affairs and faculty affairs

of the university. The executive vice president and provost and the executive vice president and chief executive officer of the Wexner medical center will cooperate to coordinate clinical activities of the health sciences colleges to align strategic efforts of the Wexner medical center and academic affairs. Duties, authority and rights are as specified in BOT bylaw 3335-1-03(B).

(B) Any responsibility of the executive vice president and provost may be delegated to any other member of the faculty or staff of the university, subject to any university limitations. The executive vice president and provost will retain final authority and responsibility for operations of the university. Delegation of major areas shall be in writing to the president before implementation.

(C) The executive vice president and provost shall designate a senior management council. The council shall provide advice to the provost, discuss, deliberate and serve as the primary decision-making body on academic or university policies and other roles as the provost shall determine. The provost shall chair a council of deans as prescribed in rule 3335-3-23.

(Board approval dates: 6/30/2020, 5/19/2022)

3335-3-03 Senior vice provost and vice provost.

An individual holding a senior vice provost or vice provost title shall report to the executive vice president and provost or their designee and shall be selected in accordance with university procedures.

(Board approval dates: 6/3/2020, 5/19/2022)

3335-3-04 Executive vice president and chief executive officer of the Wexner Medical Center.

The executive vice president and chief executive officer of the Wexner medical center shall, under the direction of the president, be responsible for and have the requisite authority for the oversight of the institution's healthcare enterprise, including the planning and delivery of medical services, patient safety and satisfaction, operation, oversight and coordination of all clinical entities, the development and strategic allocation of resources, budgeting and fiscal performance, philanthropic performance, and hiring and review of Wexner medical center executives' performance. The executive vice president and chief executive officer of the Wexner medical center and the executive vice president and provost will cooperate to coordinate clinical activities of the health sciences colleges to align strategic efforts of the Wexner medical center and academic affairs. Specifically, for clinical-related components, the college of medicine shall have a solid line reporting structure, and the

remainder of the health sciences colleges shall have a dotted line reporting structure, to the executive vice president and chief executive officer. The executive vice president and chief executive officer shall have oversight of the Comprehensive Cancer Center. The executive vice president and chief executive officer shall report to the president with a dotted line reporting relationship to the Wexner medical center board to enable communication and consultation with the Wexner medical center board.

(Board approval dates: 7/12/1991, 2/4/1993, 4/4/1997, 6/18/2010, 6/3/2020)

~~3335-3-03~~ 3335-3-05 Senior vice president for business and finance.

The senior vice president for business and finance shall be the chief financial officer of the university. Under the direction of the president, the senior vice president for business and finance is responsible for the administration of the university's business, financial and administrative operations. Duties, authority and rights are as specified in BOT bylaw 3335-1-03(C).

(Board approval dates: 6/3/2020, 5/19/2022)

~~**3335-3-04 Vice President.**~~

~~An individual holding a vice president title shall report to the president, or to the executive vice president and provost, and shall be selected in accordance with BOT procedures.~~

~~(Board approval dates: 6/3/2020, 5/19/2022)~~

~~**3335-3-05 Vice Provost.**~~

~~An individual holding a vice provost title shall report to the executive vice president and provost and shall be selected in accordance with university procedures.~~

~~(Board approval dates: 6/3/2020, 5/19/2022)~~

~~**3335-3-06 Executive Vice President and Chancellor.**~~

~~The chancellor shall be the chief executive officer of the Wexner Medical Center and shall be appointed by the Wexner Medical Center Board of Trustees. Duties, authority and rights are as specified in the bylaws of the medical staff.~~

~~(Board approval dates: 7/12/1991, 2/4/1993, 4/4/1997, 6/18/2010, 6/3/2020)~~

~~3335-3-07~~ 3335-3-06 Senior vice ~~president and director of athletics~~ president and athletics director.

(A) The senior vice president and athletics director ~~of athletics~~ shall be appointed by and responsible to the president. The athletic council (see rule 3335-5-48.5) shall also be consulted in the appointment of the ~~athletic~~ athletics director.

(B) Under policies established by the athletic council, the senior vice president and athletics director ~~of athletics~~ shall administer the intercollegiate athletics program subject to the direction of the president or their designee.

(C) The athletic physical plant shall be under the concurrent jurisdiction of the department of athletics and the office of facilities operation and development. Athletic physical plant employees shall be under the jurisdiction of the department of athletics, which in consultation with the office of physical facilities shall be responsible for all normal maintenance and repairs. Major remodeling, renovation, construction and other capital improvements shall be undertaken only with the prior approval of, and under the direction of, the office of facilities ~~operation~~ operations and development.

(Board approval dates: 12/4/1998, 3/5/2003, 7/11/2008, 4/8/2016, 6/3/2020, 5/19/2022)

3335-3-07 Senior vice president and general counsel.

The senior vice president and general counsel shall serve as the chief legal advisor to the president, board of trustees, and university, including its Wexner medical center. The senior vice president and general counsel shall also be appointed an assistant attorney general for the state of Ohio by the Ohio attorney general. The senior vice president and general counsel shall report to both the board of trustees and the university president.

3335-3-08 Vice president.

An individual holding a vice president title shall report to the president, or to the executive vice president and provost, and shall be selected in accordance with BOT procedures.

(Board approval dates: 6/3/2020, 5/19/2022)

3335-3-23 Council of deans.

(A) The executive vice president and provost, the deans of the colleges, the dean of the graduate school, the deans and directors of the regional campuses, the dean of undergraduate education, the dean of online education, and the dean of libraries shall comprise the council of deans of the university. The executive vice president and provost shall be chair of the council.

(B) The council of deans shall meet on the call of the chair. The council of deans shall serve as an advisory council to the president.

(Board approval dates: 10/5/1973, 2/2/1979, 4/3/1981, 7/9/1982, 3/2/1984, 12/5/1986, 11/2/1990, 5/3/1996, 4/4/1997, 12/4/1998, 10/1/1999, 5/7/2004, 6/7/2005, 2/1/2006, 2/11/2011, 11/21/2019, 6/3/2020, 5/19/2022, ~~5/19/2022,~~ 11/20/2024)

3335-3-25 Organization of the university.

(A) For the purpose of administering the various programs of the university, there shall be established educational and administrative units within the university. All units of the university shall be established, altered, or abolished only by the board of trustees.

(B) Procedures for recommending the establishment, alteration and abolition of educational units shall be promulgated by the university faculty or the university senate and approved by the board of trustees (see rules 3335-3-37 and 3335-5-48.1 of the Administrative Code). Procedures recommending the establishment, alteration and abolition of administrative units shall be promulgated by the president and approved by the board of trustees.

(C) The basic organization of the educational units of the university shall be as established in paragraph (B) of rule 3335-1-05 of the Administrative Code.

(Board approval dates: 8/1/1997, 6/1/2001, 6/7/2005, 5/19/2022)

3335-3-26 Establishment of colleges and graduate school.

For educational administration the university shall be organized into a graduate school and fifteen colleges, as established in rule 3335-1-05 (B) (3) of the Administrative Code.

(Board approval dates: 8/1/1997, 5/4/2001, 6/29/2001, 6/7/2005, 7/7/2006, 7/11/2008, 2/11/2011, 4/8/2016, 5/19/2022)

3335-3-26.1 Establishment of regional campuses.

(A) There shall be four regional campuses of the university, as established in paragraph (B)(5) of rule 3335-1-05 of the Administrative Code.

(B) The four regional campuses shall be administered separately by their respective deans and directors.

(Board approval dates: 2/1/1980, 12/5/1986, 5/3/1996, 6/7/2005, 4/8/2016, 5/19/2022)

3335-3-27 Organization of the graduate school.

The graduate school shall consist of those components established in rule 3335-1-05 (B)(4) of the Administrative Code.

(Board approval dates: 6/7/2005, 4/8/2016, 5/19/2022)

3335-3-28 Dean of libraries.

(A) The dean of libraries shall be appointed by the board of trustees upon nomination of the executive vice president and provost in consultation with the president. Before making this nomination the ~~president shall confer with the~~ executive vice president and provost ~~who, in turn,~~ shall confer with representatives of the faculty of the university libraries and ~~with the~~ the senate library committee and shall give substantial weight to faculty recommendations in reaching a decision. Any search committee constituted for the dean of libraries appointment shall include the chair of the faculty advisory council or an elected university senator from the university libraries. For dean reappointment, if the faculty recommendation is not followed, the executive vice president and provost shall explain the rationale in writing for the departure to enhance communication and facilitate understanding. The explanation shall be provided in a form that omits any information the university is prohibited from disclosing by law or by university policy governing confidential personnel, student, or medical records. The presence of such confidential information does not waive the requirement of an explanation.

(B) The dean of libraries shall have the responsibility and authority for administering the university libraries ~~under the jurisdiction of that office~~ and the university archives ~~—~~except the law library, the health sciences libraries, and the regional campus libraries. The dean performs a dual function. In addition to being the administrative head of the university libraries, the dean shall be an advocate for the interests of the faculty body, students and staff of the libraries in dealing with the executive vice president and provost and others in the university administration. In the discharge of library duties, the dean shall be guided by the policies established by the Senate library committee (see rule ~~3335-5-48.X~~ 3335-5-48.2 of the Administrative Code). The dean shall report to the president through the executive vice president and provost.

(C) Review in consultation with the faculty the libraries' pattern of administration (POA), as described in 3335-3-29(B)(5).

~~(C)~~ (D) Without limiting the generality of the foregoing, the dean shall evaluate continuously the administrative and operating practices of the university libraries ~~under the jurisdiction of that office~~ and the university archives ~~—~~except the law library, the health sciences libraries, and the regional campus libraries~~—~~, and lead in the study of methods in improving ~~them~~ such practices; recommend appointments, promotions, and dismissals ~~under~~ for all faculty whose TIU is the university libraries, and for the staff who report to the University Libraries, consistent with the rules of the university; and prepare for the approval of the executive vice president and provost's annual recommendations for the budgets for personnel and for archives and library materials.

(Board approval dates: 4/4/1997, 12/4/1998, 6/7/2005, 11/21/2019, 5/19/2022)

3335-3-29 Deans of the colleges.

(A) There shall be a dean of each college who shall be a member of its faculty and the administrative head of the college. The dean performs a dual function. In addition to being the administrative head of the college, the dean shall be an advocate for the interests of the faculty body, students and staff of the college in dealing with the executive vice president and provost and others in the university administration. Each dean shall be appointed and reappointed by the board of trustees upon nomination of the executive vice president and provost in consultation with the president. The dean of the College of Medicine shall be jointly nominated by the executive vice president and provost and the chief executive officer of the Wexner medical center. Before making this nomination or recommendation for reappointment, the executive vice president and provost shall confer broadly with members of the faculty of the college for which the ~~dean or executive~~ dean is to be appointed and shall give substantial weight to faculty recommendations in reaching a decision. Any search committee constituted for a dean appointment shall include the chair of the college council or an elected university senator from that college. For dean reappointments, if the faculty recommendation is not followed, the executive vice president and provost shall explain the rationale in writing to enhance communication and facilitate understanding. The explanation shall be provided in a form that omits any information the university is prohibited from disclosing by law or by university policy. The

presence of such confidential information does not waive the requirement of an explanation.

The president shall also consider the recommendations of the chairs of the departments and the directors of the schools in that college.

(B) The major responsibility of the dean of each college shall be that of providing active leadership in the promotion, direction and support of educational and research activities of the university, in the maintenance of a high level of morale among the faculty, and in the encouragement of the spirit of learning among the students. In addition, the dean shall have general administrative responsibility for the program of the college, subject to the approval of the president and the board of trustees. These administrative responsibilities shall include the duties to:

1. Preside at meetings of the college faculty and to appoint all college committees unless their membership has been designated by faculty rule or by the college faculty.

2. Approve courses of study for students in their college, to warn students who are delinquent in their studies and to recommend appropriate student disciplinary action to the appropriate university disciplinary body or official.

3. Present candidates for degrees to the president on behalf of the college faculty and to serve as a member of the council of deans (see rule 3335-3-23 of the Administrative Code).

4. Make recommendations to the executive vice president and provost concerning the college budget, and concerning the appointments to and promotions within the staff and the membership of the college faculty, after consultation with the chairs of the ~~department~~ departments and the directors of the schools within the college.

5. Review in consultation with the faculty the college's pattern of administration (POA). The POA shall be consistent with the principles of faculty governance and the responsibilities of the dean. At the beginning of each five-year term, in consultation with the faculty, the dean shall either reaffirm or revise the existing POA. The existing POA shall be the starting point for the review of the POA and shall remain in effect

until the process is complete. Any revisions to the existing POA shall be accomplished first with broad faculty input, obtained in a manner consistent with the college's established practices and procedures, and, second, with faculty approval, ~~also consistent~~ evidenced by a recorded majority of the voting faculty. The pattern of administration shall specify who constitutes the eligible voting faculty.

If a recorded majority of voting faculty does not support the POA revisions, the dean shall meet with the ~~college's practices and procedures~~ faculty to find a consensus solution. If ~~faculty approval is not achieved~~ consensus cannot be reached, the dean shall explain in writing the rationale ~~in writing for the departure in order~~ for their decision to enhance communication and facilitate understanding.

The POA will be submitted to the executive vice president and provost or designee for approval. After approval, the POA shall be made available to all members of the faculty, be posted on the college web site, and be distributed to each department and the college office.

For purposes of defining minimum content the following shall be included in the POA:

a. Introductory statement
b. College mission
c. Types of faculty appointments and their respective governance rights
d. Organization of college services and staff
e. Overview of college administration
f. Description of college faculty governance structure, including at least a ~~college investigation and sanctioning committee (see rule~~ College Investigation and Sanctioning Committee (Faculty Rule 3335-04 ~~of the Administrative Code~~) and a salary appeals committee
g. Policies governing faculty responsibilities and teaching assignments
h. Policies governing allocation of college resources
i. Grievance procedures
j. A statement recognizing in principle the presumption favoring faculty rule on those matters in which faculty have primary responsibility, including: curriculum, subject matter and methods of instruction, research, faculty status (appointment, promotion and tenure of faculty), and those aspects of student life which relate to the educational process. (Source: "AAUP Statement on Government of Colleges and Universities")

(C) The dean is hereby given authority requisite to carrying out the responsibilities of their position. The dean may delegate any of their ~~responsibility~~ responsibilities and authority to another member of the faculty of the college. The dean shall be a voting member of the faculty of each department.

(D) The usual method of communication between the dean and the president or the board of trustees shall be through the office of academic affairs, then to the president and through the president to the board of trustees.

(Board approval dates: 4/4/1997, 2/6/1998, 12/4/1998, 9/1/1999, 12/2/2005, 2/11/2011, 11/9/2012, 5/19/2022)

3335-3-29.1 Dean and director of a regional campus.

(A) There shall be a dean and director of each regional campus who shall be a member of its faculty and the administrative head of the regional campus. The dean and director shall be appointed by the board of trustees upon nomination of the executive vice president and provost in consultation with the president. ~~Before making this nomination,~~ The dean and director performs a dual function. In addition to being the administrative head of the regional campus, the dean and director shall be an advocate for the interests of the faculty body, students and staff of the regional campus in consultations with the executive vice president and provost ~~or designee shall confer with the regional campus faculty and the department or school in which the faculty appointment would be made, and shall consider the recommendations of~~ , the deans of the colleges ~~with regular~~ and the chairs and directors of the tenure initiating units to which regional campus faculty are assigned ~~to that campus~~ , and others in the university administration.

(B) Before making this nomination or recommendation for dean and director of a regional campus, the executive vice president and provost or designee shall confer with the regional campus faculty, and with the department or school in which the faculty appointment would be made, and shall give substantial weight to the recommendations of the regional campus faculty in reaching a decision. The executive vice president and provost shall also consider the recommendations of the deans of the colleges with tenure-track and clinical/teaching/practice faculty assigned to that campus. Any search committee constituted for a regional dean and director appointment shall include the chair of the regional faculty assembly or an elected university senator from that regional campus. For regional dean and director reappointments, if the faculty recommendation is not followed, the executive vice president and provost shall explain the rationale in writing for the departure to enhance communication and facilitate understanding. The explanation shall

state the reasons for the decision and the criteria applied, and shall be provided in a form that omits any information the university is prohibited from disclosing by law or by university policy. The presence of such confidential information does not waive the requirement of an explanation.

~~(~~B (C) The major responsibility of each regional campus dean and director shall be that of providing active leadership in the promotion, direction, and support of educational activities and research opportunities, in the maintenance of a high level of morale among the faculty, and in the encouragement of the spirit of learning among the students. In addition, the dean and director shall have administrative responsibility for the program of the regional campus subject to the approval of the executive vice president and provost or designee, the president, and the board of trustees. These administrative responsibilities shall include the following duties ~~to~~:

1. Preside at meetings of the faculty executive committee.
2. Appoint members to regional campus committees ~~unless the method of selection is determined by the Administrative Code or by~~ in collaboration with the regional campus faculty.

   ~~(3) Develop~~ Develop a pattern of administration in consultation with the faculty ~~a pattern of administration for~~ of the regional campus following the principles set forth in paragraph (C)(2) of rule 3335-3-35 of the Administrative Code~~.~~
3. Communicate to the regional campus community the educational programs, standards, and policies of the campus and the university. Communicate the views and recommendations of the regional campus faculty to the executive vice president and provost, the vice provost of regional campuses, the deans of the colleges with faculty assigned to the campus, and the chairs and directors of those faculty members' tenure initiating units.
4. Establish the extent and variety of course offerings on the regional campus in consultation with the executive vice president and provost or designee, the appropriate college deans, department chairs or school directors, and the faculty of the regional campus.
5. Consult with the appropriate college dean and department chair or school director and to jointly offer employment to prospective faculty members assigned to the campus.
6. Assist the appropriate college deans, department chairs, and school directors in the annual review of all faculty assigned to the regional campus. This assistance shall

include a written evaluation of the faculty member's teaching, research, and service activities at and for the regional campus, a copy of which shall be provided to the faculty member at the time it is transmitted, together with notice of the faculty member's right to place a written response in the primary personnel file maintained by the tenure initiating unit and to file an appeal as referenced in the university's faculty annual review, post-tenure review, and reappointment policy. The regional campus dean and director shall be consulted when a regional campus faculty member is being considered for promotion and tenure and may suggest such candidates to the appropriate chairs and directors.

7. Prepare and administer the regional campus budget in consultation with the regional campus faculty budget committee; to consult with the appropriate chair or director regarding faculty salary recommendations; to be responsible for the management, maintenance, and security of the physical plant and capital equipment of the regional campus.
8. Maintain liaison with community councils and agencies and to garner support of regional campus programs and activities.
9. Develop, promote, and maintain educational, cultural, and service programs with approval of the appropriate university bodies and administrative officials. The dean and director shall review all such programs periodically.

(Board approval dates: 2/1/1980, 7/8/1983, 3/2/1984, 10/4/1985, 6/11/1986, 12/5/1986, 11/2/1990, 5/3/1996, 4/4/1997, 12/4/1998, 6/7/2005, 5/19/2022)

3335-3-30.1 Dean of undergraduate education.

(A) There shall be a dean of undergraduate education who shall be a member of the faculty charged with overseeing and implementing policies related to undergraduate academic programming. The major responsibility of the dean of undergraduate education shall be that of providing active leadership in the promotion, direction, and support of undergraduate educational activities of the university, and in the encouragement of the spirit of learning among the students. The dean of undergraduate education shall be appointed and reappointed by the board of trustees upon nomination by the executive vice president and provost in consultation with the president.

(B) The dean of undergraduate education shall serve as a member of the council of deans, and in general be responsible for the progress of the educational policies and the well-being of undergraduate programs at the university. The dean shall report to the executive

vice president and provost upon the condition and progress of the undergraduate education whenever called upon to do so.

(C) The ~~dean and executive~~ dean of undergraduate education shall work with colleges to propose and implement policies of the faculty with respect to the development of programming for: challenging academic experiences for undergraduate students; the curricula and requirements for baccalaureate programs and the development of new and useful undergraduate programs; general education requirements, including the retention and ongoing development of curricula assigned specifically for the general education of all undergraduate students; a general university honors program; and other academic programs that are necessary and supportive of undergraduate studies.

(D) The dean of undergraduate education shall be responsible for the coordination of university advising and curricular counseling. Specifically, the dean of undergraduate education shall keep colleges informed of all changes in curricular requirements and other matters pertaining to academic advising, and in this manner assist in achieving consistency of advising across the university.

(E) The dean of undergraduate education shall be consulted by the deans of the colleges on matters relating to university-wide aspects in undergraduate instruction.

(F) With the approval of the faculty, or its designated representative body and the deans of the colleges, the dean of undergraduate education may appoint committees from the faculty to work with them in the implementation of those policy areas as outlined in paragraphs (C) and (D) of this rule.

(G) The dean, or their designee, is hereby granted all authority necessary to carry out the responsibilities of the dean of undergraduate education as described in 3335-3-30.1 (C) above.

(H) The dean of undergraduate education shall also be responsible for such other matters pertinent to undergraduate education that may be designated by the executive vice president and provost.

(Board approval dates: 12/5/1986, 11/2/1990, 6/3/1994, 5/3/1996, 4/4/1997, 12/4/1998, 6/22/2012, 5/19/2022)

3335-3-31 Dean of the graduate school.

(A) There shall be a dean of the graduate school who shall be the administrative head of the graduate school. The dean shall be appointed by the board of trustees upon nomination of the executive vice president and provost in consultation with the president. Before making

a nomination, the executive vice president and provost shall confer with the graduate council.

(B) The dean of the graduate school shall have the same general responsibilities and authorities that pertain to the deans of the colleges. The dean shall also preside at the meetings of the graduate council and of its executive committee, make recommendations to the council concerning all such matters as are of primary importance in the development of the graduate work of the university, and make recommendations to the executive vice president and provost concerning the budget of the graduate school to provide for the proper maintenance of the school and to assist in the development of graduate work and research programs.

The dean of the graduate school shall present candidates for graduate degrees to the president, serve as a member of the council of deans, and in general, be responsible for the progress of the educational policies and well-being of the school. The dean shall report to the appropriate member of the presidents planning cabinet upon the condition and progress of the graduate school whenever called upon to do so.

(C) The departments or schools offering graduate work shall confer with the dean of the graduate school in all matters related to graduate work. In all matters pertaining to teaching load and adjustment of personnel, the dean of the graduate school shall consult with the dean of the appropriate college.

(D) The dean, or their designee, has all authority necessary to carry out the responsibilities of the dean of the graduate school as specified in this rule.

(E) The dean, or their designee, shall appoint all graduate school committees unless their membership has been designated by these faculty rules, the graduate council, or the graduate faculty.

(F) Any of the responsibility and authority of the dean of the graduate school, as specified in 3335-3-31 (B)-(E), may be delegated to another member of the graduate faculty.

(G) The usual method of communication between the dean of the graduate school and the president or the board of trustees shall be the same as for the deans of the colleges.

(Board approval dates: 12/4/1998, 6/7/2005, 6/6/2008, 5/19/2022)

3335-3-32 Associate and assistant deans or directors, coordinators, and other officials.

Each college, the graduate school, and each regional campus may have associate and assistant deans or directors, coordinators, or such administrative officials as are needed to

carry out the programs of each unit. These positions shall be appointed pursuant to the procedures outlined in rule 3335-5-02 of the Administrative Code and shall be responsible to the principal administrative official of the educational unit. They shall also have such responsibilities and authorities as may be delegated to them from time to time by that official.

(Board approval dates: 6/7/2005, 2/01/2013, 5/19/2022)

3335-3-33 Secretaries.

Each college and the graduate school shall have a secretary who shall be responsible for keeping the records of the college, including the minutes of all college or graduate school faculty meetings. The secretary shall be appointed pursuant to the procedures outlined in rule 3335-5-02 of the Administrative Code and shall have such additional responsibilities and authorities as may be delegated to them from time to time by the dean. An associate or assistant dean or other college or graduate school officer may also be designated as the secretary of the college or of the graduate school (see paragraph (D)(5) of rule 3335-3-34 of the Administrative Code, for secretary of a school).

(Board approval dates: 3/3/1978, 12/1/1995, 6/29/2001, 5/19/2022)

3335-3-34 Schools, departments, divisions, and sections; defined and located.

(A) The units of a college organization for instruction, research, and service are the school, department~~,~~ and division.

Each of these units should normally meet the following qualitative requirements:

1. A recognized, discrete area of academic concern not already included within the mission of another school, department or division.
2. A proposed or existing academic program at both undergraduate and graduate or graduate professional levels.
3. A source of faculty members prepared to offer academic work in the subject concerned.
4. An academic subject that offers research and/or public service opportunities in addition to formal classroom teaching and has the potential for developing recognition by other scholarly groups.
5. An academic field that has developed or is in the process of developing a student clientele either for the purpose of major programs or as an important "service" discipline to other major programs.

6. The ability to assume primary fiscal responsibility.

(B) A particular unit may not meet all the aforementioned criteria, but the formation of a unit that does not meet all of the criteria should only be approved when circumstances dictate that approval is important to the academic development of the university.

(C) Schools and departments shall have a minimum of ten tenure-track faculty positions spread through at least one of each of the three academic ranks of assistant professor to professor, unless persuasive academic reasons demonstrate the need for exceptions.

(D) A school is differentiated from a department as follows:

1. The undergraduate or graduate work offered by a school may lead to “tagged” degrees.
2. Recipients of "tagged" degrees shall be recommended for such degrees by the faculty of the appropriate school.
3. A school, with the exception of the graduate school, may be organized into departments, divisions, or sections.
4. A school, with the exception of the graduate school, shall be responsible to a college for administrative purposes. Curricular proposals developed by the school shall be transmitted to the council on academic affairs for review and action after approval by the college dean or designee.
5. A school may establish its own admission and retention policies and requirements within the framework of university policies and may retain student personnel records for those students enrolled in degree programs under the control of the school. To facilitate the conduct of these activities, a school shall appoint a secretary, with the responsibilities outlined for a secretary of a college (see rule 3335-3-33 of the Administrative Code).

(E) A "division" is an academic unit established within a college or a school to provide for a developing need in a circumscribed subject. The head of such a unit shall be known as the chair of a division, shall have academic responsibility, and may be assigned fiscal responsibility by the respective dean of the college or director of the school. This unit shall be responsible for instruction, service, and research in a specific academic concern. Such units may be established in any field in which a new department is not feasible, but in which there is a possibility that growth in the subject may eventually lead to the status of a department. However, the determination to establish such a unit need not be based solely on the presumption that such a unit will attain this status. The status of these units shall be reviewed periodically by the council on academic affairs.

(F) A "section" is an informal unit within a school, department, division, or academic center ~~that~~ which is established to expedite the administration of a given academic subject. The function of a section shall be to assist the parent unit in the administration of the subject and to provide an organizational structure for relationships with professional organizations or other individuals with similar interests. The faculty member in charge shall be known as the section head. The head of the section is appointed by the administrator of the parent unit and has responsibilities delegated by the administrator of the parent unit. The formation of a section must be reported to the council on academic affairs.

(G) The establishment or abolition of schools, departments, and divisions shall require approval by the council on academic affairs, the university senate, and the board of trustees (see rule 3335-3-37 of the Administrative Code~~)~~.

(Board approval dates: 4/2/1971, 3/2/1984, 5/3/1996, 6/1/2001, 6/22/2012, 2/2/2018, 5/19/2022)

3335-3-35 Chairs of departments, directors of schools.

(A) The chair of each department and the director of each school shall be the administrative head, respectively, of the department or school. The department chair and the director of a school perform a dual function. In addition to being the administrative head of the department or school, the chair or director ~~represents~~ shall be an advocate for the interests of the faculty, students and staff of the department or school in dealing with the dean or others in the university administration. Upon the nomination of the executive vice president and provost, or their designee, the board of trustees shall appoint each chair and director for a term of four years subject to the annual review provisions of the office of academic affairs. A chair or director shall be eligible for reappointment. In selecting a chair or director, the executive vice president and provost or their designee shall confer with the faculty of the department or school and with the dean of the college involved. The ~~dean, in turn, will consult with the faculty of the department or school on all campuses, as well as other appropriate university officials. The~~ executive vice president and provost or their designee shall give substantial weight to faculty recommendations in reaching a decision regarding a nomination or recommendation for reappointment. Department chairs and directors of schools report to the deans of their colleges.

(B) The executive vice president and provost or their designee may remove a chair or director during a four-year term after consultation with the voting faculty and dean of the unit involved. The views of the faculty shall be given substantial weight in arriving at any decision to remove a chair or director from office.

(C) The duties of the chair of a department or the director of a school shall be as follows:

1. To have general administrative responsibility for its program, subject to the approval of the dean of the college.

2. To develop, in consultation with the faculty, a pattern of administration (POA). This pattern of administration shall be made available to all ~~present~~ current and prospective members of the faculty of the department ~~or school~~, school, or campus, and a copy shall be deposited in the office of the dean of the college and in the office of the executive vice president and provost.

The POA shall be consistent with the principles of faculty governance and the responsibilities of the chair, director or regional dean and director. At the beginning of each four-year term, in consultation with the faculty, the chair, director or regional dean and director shall either reaffirm or revise the existing POA. The existing POA shall be the starting point for the review of the POA and shall remain in effect until the process is complete. Any revisions to the existing POA shall be accomplished first with broad faculty input, and, second, with faculty approval, evidenced by a recorded majority of the voting faculty. The pattern of administration shall specify who constitutes the eligible voting faculty.

If a recorded majority of voting faculty does not support the POA revisions, the chair, director or regional dean and director shall meet with the faculty to find a consensus solution. If consensus cannot be reached, the chair, director or regional dean and director shall provide a written rationale behind their decision to enhance communication and facilitate understanding.

The faculty of the department, school or regional campus may also initiate revisions to the pattern of administration, by the process specified in the pattern of administration, and the chair or director shall process any revision so initiated under this paragraph. This pattern of administration shall be made available to all present and prospective members of the faculty of the department or school, and a copy shall be deposited in the office of the dean of the college and in the office of the executive vice president and provost.

For purposes of defining minimum content, the following shall be included in the pattern of administration:

a. An introductory statement identifying the unit, its mission, the date on which the pattern of administration was adopted and the date of its most recent reaffirmation or revision, and the process by which it may be amended.

b. A statement requiring the chair to provide a schedule of all regular faculty meetings (see rule 3335-5-18 of the Administrative Code) to all faculty members before the start of each semester, summer term, or session.

c. A statement of the types of faculty appointments held in the unit and the governance rights, including voting rights, attaching to each; and identifying which faculty constitute the voting faculty for purposes of approval of the pattern of administration under this paragraph and for any other vote required by the Faculty Rules.

d. A statement requiring the chair to maintain minutes of all faculty meetings and to maintain records of all other actions covered by the pattern of administration.

e. A statement that the chair will consult with the faculty as a whole on all policy matters, and that such consideration will, whenever practicable, be undertaken at a meeting of the faculty as a whole.

f. A statement recognizing in principle the presumption favoring majority faculty rule on all matters covered by the pattern of administration. This statement shall further provide that whenever majority faculty rule is not followed, the department or faculty chair, or school director, or dean and director of a regional campus, whichever is the case, shall explain the reasons for the departure to enhance communication and to facilitate understanding ~~within the department. Where possible, this statement of reasons shall be provided before the departure occurs. This~~ . The explanation shall ~~outline~~ identify the decision of the majority of the voting faculty, the decision of the ~~department or faculty chair, or school~~ dean, director, or regional dean and director ~~of the regional campus, whichever is the case~~, and the reasons the decisions differ. ~~The explanation shall be communicated to the faculty in writing, where possible, or at a faculty meeting, with an opportunity provided for faculty to comment.~~

Commented [CC2]: Is this the right word?

g. A statement affirming that the faculty shall be consulted in the initiation and in the review and selection of new faculty members for appointment.

h. A statement explaining how faculty duties and responsibilities in instruction, scholarship, and service are to be assigned and distributed equitably.

i. A procedure by which a faculty member, or the faculty as a body, may raise an alleged failure to follow the pattern of administration. The procedure shall specify to whom the matter is raised, the time within which a response is due, and the recourse available if the matter is not resolved within the unit. The explanations provided under paragraph (C)(2)(f) of this rule, and any matters raised under this paragraph and their disposition, shall be recorded

and compiled, and shall be provided to the faculty and to the dean of the college in connection with both the review of the pattern of administration under this paragraph and the review of the chair or director under paragraph (B) of this rule.

3. To prepare, after consultation with the faculty and in accordance with the pattern of departmental administration, a statement setting forth the criteria and procedures according to which recommendations are made concerning appointments and/or dismissals, salary adjustments, promotions in rank, and matters affecting the reappointment and tenure of the faculty. This statement shall be made available to all present and prospective members of the department or school, and a copy shall be deposited in the office of the dean of the college and in the office of academic affairs. At the beginning of each four-year term of the chair of a department or the director of a school, the members of the department or school, the office of the dean of the college, and the office of academic affairs shall receive either a revision or reaffirmation of the original statement.

4. To operate the business of the department or school with efficiency and timeliness.

5. To plan with the members of the faculty and the dean of the college the regular evaluation of the instructional and administrative processes and methods for their improvement, and to develop a plan for ensuring that students progress toward timely program completion.

6. To evaluate faculty members periodically in accordance with criteria approved by the board of trustees and subject to instructions from the executive vice president and provost, and also according to such supplemental criteria as may be set up by the department or school.

7. To inform faculty members when they receive their annual review of their right to review their primary personnel file maintained by their tenure initiating unit and to place in that file a response to any evaluation, comment or other material contained in the file.

8. To recommend to the dean of the college, after consultation with the faculty in accordance with paragraph (C)(3) of this rule, appointments, promotions, dismissals, and matters affecting the reappointment and tenure of members of the department or school faculty.

9. To encourage research and educational investigations.

10. To see that all faculty, regardless of their assigned location, are offered the departmental privileges and responsibilities appropriate to their rank.

11. To lead in maintaining a high level of morale among faculty.

12. To see that adequate supervision and training are given to those members of the faculty and staff who may ~~profit by~~ benefit from such assistance.

13.To prepare (after consultation with faculty) annual budget recommendations for consideration by the dean of the college.

14. To promote improvement of instruction by providing for the evaluation of each course when offered, including written evaluation by students of the course and instructors, and periodic course review by the faculty.

(Board approval dates: 3/10/1966, 5/6/1977, 2/1/1980, 4/1/1983, 6/11/1986, 10/2/1987,11/2/1990, 3/12/1993, 5/3/1996, 4/4/1997, 2/6/1998, 12/4/1998, 9/1/1999, 6/7/2005, 5/14/2010, 6/6/2014, 4/8/2016, 5/19/2022)

APT approval—Potential revision aligned with POA revision language

Friday November 6, 2026

3335-6-02 Criteria for appointment, reappointment, and promotion and tenure of tenure-track faculty.

...

(E) Each tenure initiating unit shall have an appointments, promotion, and tenure document. The document shall describe, in qualitative terms, the unit's criteria for appointments, promotion, and tenure and evidence to be provided to support a case within the context of the unit's mission and the standards set forth in this rule as well as the mission and standards of the college. The document should indicate with some specificity how the quality and effectiveness of teaching, the quality and significance of scholarship, and the quality and effectiveness of service are to be documented and assessed. The document of a tenure initiating unit with regional campus faculty must establish criteria for

appointments, promotion, and tenure for these faculty within the context of the regional campuses' mission as well as that of the tenure initiating unit. The document shall also describe the unit's procedures for conducting annual performance reviews of probationary faculty and reviews for promotion and tenure.

The document must be drawn up or amended, first, with ~~through~~ broad faculty input from ~~consultation with~~ all voting members of the tenure initiating unit according to the principles articulated in paragraph (C)(3) of rule 3335-3-35 of the Administrative Code, and, second, with faculty approval, evidenced by a recorded majority of the voting faculty. ~~and~~

If a recorded majority of voting faculty does not support the appointment, promotion and tenure document revisions, the tenure initiating unit head shall meet with the faculty to find a consensus solution. If consensus cannot be reached, the tenure initiating unit head shall provide a written rationale behind their decision to enhance communication and facilitate understanding. The document must be approved by the dean of the college and the executive vice president and provost.

(F) Each college shall have an appointments, promotion, and tenure document. The document shall describe, in qualitative terms, the college's criteria for appointments, promotion, and tenure within the context of the college's mission and the standards set forth in this rule. The document shall also describe the college's procedures for conducting college level reviews for promotion and tenure.

The document must be drawn up, first, ~~through~~ with broad faculty input ~~consultation~~ and, second, with faculty approval, evidenced by a recorded majority of the voting faculty. If a recorded majority of voting faculty does not support the appointment, promotion and tenure document revisions, the college dean shall meet with the faculty to find a consensus solution. If consensus cannot be reached, the dean shall provide a written rationale behind their decision to enhance communication and facilitate understanding. The document must be approved by the executive vice president and provost.